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PATENT APPLICATION
Attorney Docket No. SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF)

William H. Connor)

Serial No. 09/489,464)

Filing Date: January 21, 2000)

For: METHOD AND APPARATUS FOR)
CONCURRENCY CONTROL IN A POLICY-)
BASED MANAGEMENT SYSTEM)

Examiner: Wang, Mary Da Zhi

Group Art Unit: 3621

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AMENDMENT TRANSMITTAL LETTER

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- [x] Response under 37 C.F.R. § 1.111 to official action mailed May 2, 2002.
- [] A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- [] Terminal disclaimer under 37 C.F. R. § 1.321(c), including
 - [] check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - [] 2 certificates under 37 C.F.R. § 3.73(b).
- [] Information disclosure statement, form 1449 and ___ references.
- [x] No additional claims fees are required.



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☐ An additional fee is required, and is calculated as shown below:

| AMENDED CLAIMS | | | | | |
|--|------------------|--|-----------------|----------|---------------|
| | NO. OF CLAIMS | HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR | EXTRA CLAIMS | RATE | ADDT'L FEE |
| Total Claims | | MINUS = 20 | 0 | x \$18 = | |
| Independent Claims | | MINUS = 3 | 0 | x \$84 = | |
| If Amendment adds multiple dependent claims, add \$260.00 | | | | | |
| Total Amendment Fee | | | | | |
| If small entity status is claimed, subtract 50% of Total Amendment Fee | | | | | |
| TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT | | | | | \$0.00 |

- ☐ A check in the amount of \$____ is enclosed.
- ☐ Charge \$____ to Deposit Account No. ____ (Docket No. ____).
- ☒ Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4061-PJM).

Edward J. Grundler
Park, Vaughan & Fleming LLP
508 Second Street, Suite 201
Davis, CA 95616
Tel: (530) 759-1663
FAX: (530) 759-1665

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Respectfully submitted,

By

Edward J. Grundler
Registration No. 47,615

Date: July 18, 2002



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Attorney Docket No. SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF

William H. Connor

Serial No. 09/489,464

Filing Date: January 21, 2000

Title: METHOD AND APPARATUS FOR
CONCURRENCY CONTROL IN A POLICY-
BASED MANAGEMENT SYSTEM

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) Examiner: Wang, Mary Da Zhi
)
) Group Art Unit: 3621
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RESPONSE TO OFFICIAL ACTION UNDER 37 C.F.R. § 1.111

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Official Action mailed **May 2, 2002**, please consider the following amendments and remarks.